THE UNITED STATES PATENT AND TRADEMARK OFFICE

, Applicant(s): Graeme John Proudler) Re: Information Disclosure

Statement

Serial No.: 09/920,554

) Group: 2161

Tiled: August 1, 2001

Examiner.

Our Ref: B-4240 o.

Date: March 140200MB Column Column

For: "PERFORMANCE OF A SERVICE ON A)

COMPUTING PLATFORM"

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

In accordance with the Applicant's duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the Search Reports for the related United Kingdom Patent Application No. GB 0118455.5 (1 page); the related United Kingdom Patent Application No. GB 0102516.2 (1 page); the related United Kingdom Patent Application No. GB 0102518.8 (1 page); the related United Kingdom Patent Application No. GB 0103986.6 (1 page); the related United Kingdom Patent Application No. GB 0114882.4 (1 page); the related United Kingdom Patent Application No. GB 0114884.0 (1 page); the related United Kingdom Patent Application No. GB 0114885.7 (1 page); the related United Kingdom Patent Application No. GB 0114891.5 (1 page); the related United Kingdom Patent Application No. GB 0114895.6 (1 page); the related United Kingdom Patent Application No. GB 0114898.0 (1 page); the related United Kingdom Patent Application No. GB 0127978.5 (1 page); the related United Kingdom Patent Application No. GB 0118455.5 (1 page); the related United Kingdom Patent Application No. GB 0213459.1 (1 page); the related PCT Patent Application No. PCT/GB02/00385 (2 pages); and the related PCT Patent Application No. PCT/GB02/00419 (2 pages). A copy of each

Information Disclosure Statement USSN 09/920,554 . March 14, 2003 Page 2

Search Report is enclosed herewith.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicant believes that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, please contact the undersigned to authorize a payment of \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c), if the Examiner believes that such a fee is due in order for this IDS to be considered on the merits.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

Information Disclosure Statement USSN 09/920,554
. March 14, 2003
Page 3

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

Respectfully submitted,

Richard P. Berg Attorney for Applicant Reg. No. 28,145

LADAS & PARRY 5670 Wilshire Boulevard Suite 2100 Los Angeles, CA 90036 (323) 934-2300

Enclosures: Form PTO-1449 (modified) (3 pages) Copy of Search Report for GB 0102516.2 (1 page) Copy of Search Report for GB 0102518.8 (1 page) Copy of Search Report for GB 0103986.6 (1 page) Copy of Search Report for GB 0114882.4 (1 page) Copy of Search Report for GB 0114884.0 (1 page) Copy of Search Report for GB 0114885.7 (1 page) Copy of Search Report for GB 0114891.5 (1 page) Copy of Search Report for GB 0114895.6 (1 page) Copy of Search Report for GB 0114898.0 (1 page) Copy of Search Report for GB 0118455.5 (1 page) Copy of Search Report for GB 0127978.5 (1 page) Copy of Search Report for GB 0213459.1 (1 page) Copy of Search Report for PCT/GB02/00385 (2 pages) Copy of Search Report for PCT/GB02/00419 (2 pages) Copy of documents listed on Form PTO-1449 (modified) March 14, 2003

Information Disclosure Statem USSN 09/920,554 March 14, 2003 Page 4	RECENT.		
Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4240 618934-9	U.S. SERIAL (1) (2) (2) (3) (4) (4) (4) (4) (4) (4) (4) (4) (4) (4	
LIST OF PATENTS AND PUBLICATIONS	APPLICANT(S) Graeme John Proudle:	r	
STATEMENT	FILING DATE August 1, 2001	GROUP 2161	

U.S. PATENT DOCUMENTS

						ENT DOCUMENTS
EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE OR 102(a) DATE IF APPROPRIATE
ī	2002/0012432 A1	Pub- lished: 1/2002	England et al.	380	231	6/28/01
	2002/0023212 A1	Pub- lished: 2/2002	Proudler	713	164	8/1/01
	5,032,979 A	7/1991	Hecht et al.	364	200	
	5,038,281	8/1991	Peters	364	200	
	5,960,177	9/1999	Tanno	395	200.59	·
	6,067,559	5/2000	Allard et al.	709	202	
•	6,138,239	10/2000	Veil	713	200	
	6,272,631 B1	8/2001	Thomlinson et al.	713	155	
	6,275,848 B1	8/2001	Arnold	709	206	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
application no.: 0020441.2	filed: 8/2000	GB			
0 893 751 A1	1/1999	EP			
0 992 958 A2	4/00	EP			
1 049 036 A2	11/2000	EP			
1 076 279 A1	2/2001	EP			
1 107 137 A2	6/2001	EP			
2 317 476 A	3/1998	GB			
2 361 153 A	10/2001	GB			
00/19324 A1	4/2000	WO			
00/48062	8/2000	WO			

. Information Disclosure Statement USSN 09/920,554
. March 14, 2003
Page 5

		A VS * L'ENTIRE L'	Q 40 M
00/52900 A1	9/2000	WO	70.0
00/54125	9/2000	WO	000
00/54126	9/2000	WO	\mathcal{O}_{0}
 00/58859	10/2000	WO	90
00/73913 A1	12/2000	WO	
01/09781 A2	2/2001	WO	
01/27722 A1	4/2001	WO	
01/65366 A1	9/2001	WO	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages,
Choo, T.H., et al., "Trusted Linux: A Secure Platform for Hosting Compartmented Applications," Enterprise Solutions, pp 1-14 (November/December 2001).
Dalton, C., et al., "An operating system approach to securing e-services," <i>Communications of the ACM</i> , Vol. 44, Issue 2 (February 2001).
Dalton, C., et al., "Applying Military Grade Security to the Internet," Computer Networks and ISND Systems, Vol. 29, pp 1799-1808 (1997).
Dalton, C.I., et al., "Design of secure UNIX," Elsevier Information Security Report, (February 1992).
Hallyn, S.E., et al., "Domain and Type Enforcement for Linux," Internet: html/ . (Retrieved April 24, 2002).
Loscocco, P., et al., "Integrating Flexible Support for Security Policies into the Linux Operating System," Internet: <www.nsa.gov selinux=""> (Retrieved April 24, 2002).</www.nsa.gov>
Milojicic, D., et al., "Process Migration," Internet: http://www.hpl.hp.com/techreports/1999/HPL-1999-21.html . pp 1-48 (December 5, 1998).
Scheibe, M., "TCPA Security: Trust your Platform!" Quarterly Focus PC Security, pp 44-47. Internet: http://www.silicon-trust.com/pdf/secure_PDF/Seite_44-47.pdf

- . Information Disclosure Statement USSN 09/920,554
- March 14, 2003 Page 6



Senie, D., "Using the SOCK_PACKET mechanism in Linux to gain complete control of an Ethernet Interfete," Internet: http://www.senie.com/dan/technology/sock_packet.html . (Retrieved April 24, 2002).
Yee, B., "Using Secure Coprocessors," Doctoral thesis - Carnegie Mellon University, pp 1-94 (May 1994).
Boot Integrity Services Application Programming Interface, Version 1.0, Intel Corporation, pp 1-60 (December 28, 1998).
"NIST Announces Technical Correction to Secure Hash Standard," Internet: <http: hashstan.htm="" public_affairs="" releases="" www.nist.gov=""> pp 1-2 (October 24, 2002).</http:>
"Norton AntiVirus 5.0 Delux," PC Magazine Online; The 1999 Utility Guide: Desktop Antivirus, pp 1-2, Internet: http://www.zdnet.com/pcmag/features/utilities99/deskav07.html (Retrieved November 30, 2001).
"Secure Execution Environments, Internet Safety through Type-Enforcing Firewalls," Internet: <thp: internet-safety.asp="" nailabs="" research="" secure-execution="" www.ghp.com=""> (Retrieved April 24, 2002).</thp:>
Sophos Anti-Virus for Notes/Domino Release Notes, Version 2.0, pp 1-2, Internet: <http: full="" products="" readmes="" readnote.txt="" sophos="" www.sophos.com=""> (Retrieved November 30, 2001).</http:>
Trusted Computing Platform Alliance (TCPA), TCPA Design Philosophies and Concepts, Version 1.0, Internet: <www.trustedpc.org> pp 1-30 (January 2001).</www.trustedpc.org>

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.